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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

First Named  
Inventor : Robert P. Cummins et al.

Appln. No. : 10/768,262

Filed : January 30, 2004

For : DISC TRAY ERROR SYSTEM

Docket No.: P31.12-0032

Group Art Unit: 1734

Examiner:

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

I HEREBY CERTIFY THAT THIS PAPER IS BEING  
SENT BY U.S. MAIL, FIRST CLASS, TO THE  
COMMISSIONER FOR PATENTS, P.O. BOX 1450,  
ALEXANDRIA, VA 22313-1450, THIS

DAY OF November, 2004.  
  
PATENT ATTORNEY

Sir:

The patents or publications listed on the enclosed PTO Form-1449 are submitted pursuant to 37 C.F.R. § 1.97. Copies of the patents or publications cited are enclosed, except as waived by the Official Gazette notice of August 5, 2003 regarding copies of U.S. Patents and Published Applications.

TIME OF FILING

The information disclosure statement is being filed:

1. X with the application or within three months of the filing date of the application or date of entry into the national stage of an international application or before the mailing date of a first Office action on the merits, whichever event occurs last. In accordance with 37 C.F.R. § 1.97(b), no statement or fee is required.
2.      after the time period specified in paragraph 1 above, but before the mailing date of a final action under 37 C.F.R. § 1.113 or notice of allowance under 37 C.F.R. § 1.311. Therefore, in accordance with 37 C.F.R. § 1.97(c), submitted herewith is:

(check either A or B below)

- A. ☐ a statement as specified in 37 C.F.R. § 1.97(e).
  - B. ☐ the fee set forth in 37 C.F.R. § 1.17(p) for submission of an information disclosure statement under 37 C.F.R. § 1.97(c).
3. ☐ after the mailing date of either a final action under 37 C.F.R. § 1.113 or a notice of allowance under 37 C.F.R. § 1.311, whichever occurs first, but before payment of the issue fee. Therefore, Applicant petitions for consideration and submits herewith:
- A. a statement as specified in 37 C.F.R. § 1.97(e);
  - B. the petition fee set forth in 37 C.F.R. § 1.17(p).

**STATEMENT**

(only used if No. 2(A) or No. 3 above is checked)

The person(s) signing below certify

(check appropriate paragraph)

☐ that each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement. 37 C.F.R. § 1.97(e)(1).

OR

☐ that no item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the person signing the certification after making reasonable inquiry, was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this statement. 37 C.F.R. § 1.97(e)(2).

**METHOD OF PAYMENT**

☒ No fee is required.

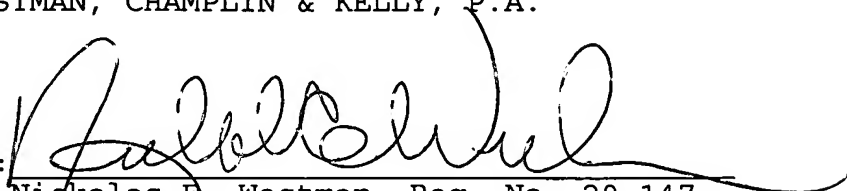
☐ Attached is a check in the amount of \$\_\_\_\_\_.

The Director is authorized to charge any fee deficiency required by this paper or credit any overpayment to Deposit Account No. 23-1123.

Respectfully submitted,

WESTMAN, CHAMPLIN & KELLY, P.A.

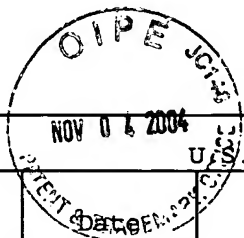
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FORM PTO-1449	Atty. Docket No.: P31.12-0032	Appl. No.: 10/768,262
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT	First Named Inventor:	
	Robert P. Cummins et al.	
	Filing Date January 30, 2004	Group Art: 1734



## U.S. PATENT DOCUMENTS

Examiner Initial	Document No.	Date	Name	Class	Sub Class	Filing Date If Appropriate
AA	5,518,325	5/1996	Rolf D. Kahle	400	70	
AB	5,542,768	8/1996	David J. Rother et al.	400	120.16	
AC	5,549,444	8/1996	Jean-Louis Dubuit	414	796.7	
AD	5,734,629	3.1998	John S. Lee et al.	369	34	
AE	5,873,692	2/1999	Dan N. Costas	414	796.9	
AF	5,927,208	7/1999	Erick Hagstrom et al.	101	486	
AG	5,934,865	8/1999	John W. Meadows	414	796.9	
AH	5,946,216	8/1999	Hollerich	364	478.11	
AI	6,021,029	2/2000	Mamiya et al.	360	133	
AJ	6,111,847	8/2000	Hamid R. Assadian	369	178	
AK	6,141,298	10/2000	David Miller	369	30	
AL	6,148,722	11/2000	Hagstrom	101	35	
AM	6,222,800	4/2001	Miller et al.	369	36	
AN	6,270,176	8/2001	Kahle	347	2	
AO	6,302,601	10/2001	Hagstrom et al.	400	283	
AP	6,321,649	11/2001	Vangen et al.	101	35	
AQ	6,327,230	12/2001	David B. Miller et al.	369	34	
AR	6,332,680	12/2001	Chizuo Ozawa	347	104	
AS	6,447,181	9/2002	Hagstrom et al.	400	120.01	
AT	6,580,444	6/2003	Drynkin et al.	347	171	
AU	6,400,659	6/2002	Kitaoka	369	34	

## FOREIGN PATENT DOCUMENTS

	Document No.	Date	Country	Class	Sub Class	Translation Yes No
AV	EP 0 799 710 A1	10/1997	Europe			X
AW	EP 1 120 785 A1	8/2001	Europe			X
AX	2002056584	2/2002	Japan			(Abstract only)

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.